

## Ethiopia HIV/AIDS Responsibility Matrix 2019

The HIV/AIDS Responsibility Matrix (RM) 2019 tool measures the current degree of contribution made by each major funder to various functional elements of the HIV response. The purpose of the RM is to: 1) provide a baseline assessment of the major funders' current degrees of responsibility across a comprehensive list of functional elements and 2) to inform the design of a roadmap that will chart shifts of responsibility for elements of the HIV response over time while maintaining program quality.

The RM was completed August 21, 2019 by a select yet inclusive group of stakeholders, comprised of: State Minister of Health, Federal Ministry of Health (FMOH) HIV/AIDS Care and Treatment Advisor, Federal HIV/AIDS Prevention and Control Office (FHAPCO) Projects & Grant Management Coordination Director, Acting PEPFAR Coordinator, USAID Infectious Disease Team Lead, UNAIDS Strategic Information Advisor/Acting Country Director, UNAIDS Fast Track Advisor, WHO Team Lead, HIV/TB & Communicable Diseases, and Global Fund Program Coordinator, CCM/E Secretariat.

In general, the Government of Ethiopia (GOE) is assigned primary responsibility for most functional elements of the RM. In comparison to PEPFAR and Global Fund, the GOE provides a smaller proportion of direct HIV-specific financial contribution to the national HIV/AIDS response, however they play a crucial role in the implementation of direct and non-direct delivery of services and overall strategic leadership. Specifically, while the USD amount is not accurately quantified on-budget for HIV control, the GOE provides the bulk of service-delivery and non-service delivery workforce and all of the physical infrastructure for facility-based clinical services across the cascade, laboratory systems and services, disease surveillance and response. This fact automatically situates GOE in a primary role with service delivery and many non-service delivery aspects of the RM. PEPFAR and Global Fund share primary and secondary responsibility with GOE across all elements, with few observed as nominal or no role. Few element were assessed as having a nominal or no role. The HIV/AIDS response in Ethiopia remains highly reliant on PEPFAR and Global Fund presence and contributions. The HIV response in Ethiopia would quickly collapse without this assistance.

The participants and wider PEPFAR team recognize the value in key funders reviewing the level of responsibilities and understanding the fundamental roles and contributions of each player towards the HIV response in Ethiopia. The process of completing the RM tool is as significant as the final outcome and product. At this time, the PEPFAR Ethiopia team recommends the RM be used for internal discussions only and should not be made available to the general public as it may not be an accurate reflection of the degree of contribution made by funders and could be problematic if used as a basis for decision-making. Concerns on the limitations of this exercise were discussed on August 23 during SIT and in subsequent discussions. Namely:

- Guidance is ambiguous and determinations of level of responsibility is open to interpretation without clearly defined parameters.
- Guidance may not have been read and understood the same way by all participating officials.
- In this group forum with government officials, it was difficult for USG to push back on determinations of responsibility that we viewed as inaccurate.
- The tool does not capture the important role of United Nations Agencies who work in strong partnership with the GOE on the national HIV response. Their role should be acknowledged and is referenced in the Notes section of the tool.

<b>HIV/AIDS Responsibility Matrix</b>									
Country: Ethiopia Epidemic Type: Mixed Income Level: Low income PEPFAR Categorization: Long-term strategy			<b>Legend</b> Primary=Primary responsibility for/contribution to element Secondary=Secondary responsibility for element (i.e., doesn't lead, but offers substantial level of support) Nominal=Contributes to this effort, but offers a nominal/marginal level of support None=No responsibility/level of support						
FUNCTIONAL ELEMENTS	DIMENSIONS								
	SERVICE DELIVERY <sup>1</sup>			NON-SERVICE DELIVERY ASSISTANCE <sup>2</sup>			STRATEGY FORMULATION AND PLANNING <sup>3</sup>		
	Host Govt. & IPs	PEPFAR & PEPFAR IPs	GFATM & GFATM IPs	Host Govt. & IPs	PEPFAR & PEPFAR IPs	GFATM & GFATM IPs	Host Govt.	PEPFAR	GFATM
<b>Programs</b>									
<i>Care and Treatment</i>	Primary	Secondary	Nominal	Primary	Secondary	Secondary	Primary	Secondary	Secondary
Clinical Interventions	Primary	Secondary	None	Primary	Secondary	Secondary	Primary	Secondary	Secondary
Laboratory	Primary	Secondary	None	Primary	Secondary	Secondary	Primary	Secondary	Secondary
Linkage, Retention, Adherence	Secondary	Primary	Primary	Secondary	Primary	Primary	Primary	Primary	Secondary
TB-HIV	Primary	Secondary	None	Primary	Secondary	Secondary	Primary	Secondary	Secondary
<i>HIV Testing Services</i>	Primary	Secondary	None	Primary	Secondary	Secondary	Primary	Secondary	Secondary
<i>Prevention</i>	Primary	Secondary	Nominal	Primary	Secondary	Secondary	Primary	Secondary	Nominal
Prevention of Mother-To-Child Transmission	Primary	Secondary	Nominal	Primary	Secondary	Nominal	Primary	Secondary	Nominal
Male Circumcision	Primary	Secondary	None	Primary	Primary	Primary	Primary	Primary	None
Other Biomedical Prevention	Primary	None	None	Primary	None	Secondary	Primary	None	Secondary
Key and Priority Populations	Secondary	Primary	Secondary	Secondary	Primary	Secondary	Primary	Secondary	Secondary
<i>Orphans and Vulnerable Children</i>	Nominal	Primary	None	Secondary	Primary	None	Secondary	Primary	None
<b>Commodities</b>									
<i>Condoms</i>	Primary	Secondary	Nominal	Primary	Secondary	Primary	Primary	Nominal	Nominal
<i>Male Circumcision Kits and Supplies</i>	Primary	Primary	Primary	Primary	Secondary	None	Primary	Secondary	None
<i>Rapid Test Kits</i>	Primary	Primary	Primary	Secondary	Secondary	Primary	Primary	Secondary	Secondary
<i>Antiretroviral Drugs</i>	Primary	Secondary	Primary	Nominal	Secondary	Primary	Primary	Primary	Secondary
<i>Other Essential Drugs</i>	Primary	None	None	Primary	Secondary	Secondary	Primary	Secondary	Nominal
<i>CD4</i>	Primary	Nominal	None	Primary	Secondary	Nominal	Primary	Nominal	Nominal
<i>Viral Load</i>	Primary	Primary	Primary	Secondary	Primary	Primary	Primary	Secondary	Secondary
<i>Reagents and Supplies</i>	Primary	Primary	Primary	Secondary	Primary	None	Primary	Secondary	Nominal
<i>Health Equipment</i>	Primary	Nominal	None	Primary	Secondary	Nominal	Primary	Nominal	Nominal
<i>PSM Costs</i>	None	Primary	None	Secondary	Primary	Primary	Primary	Secondary	Secondary
<b>Health Workforce</b>									
<i>Service Delivery Personnel: Facility-level staff total</i>									
Salary and Benefits	Primary	Secondary	Nominal				Primary	Nominal	Nominal
Salary Top-Ups	None	None	None				None	None	None
Training and Supervision	Primary	Secondary	Secondary				Primary	Secondary	Secondary
<i>Non-Service Delivery Personnel: Facility-level staff</i>									
Salary and Benefits				Primary	Secondary	Secondary	Primary	Secondary	Secondary
Salary Top-Ups				None	None	None	None	None	None
Training and Supervision				Primary	Secondary	Nominal	Primary	Secondary	Secondary
<i>Community Health Workers/Lay Cadres staff</i>									
Salary and Benefits	Primary	Secondary	Secondary	Primary	Secondary	Secondary	Primary	Secondary	Secondary
Salary Top-Ups	None	None	None	None	None	None	None	None	None
Training and Supervision	Primary	Secondary	Secondary	Primary	Secondary	Secondary	Primary	Secondary	Secondary
<i>Secondment Staff number</i>	Nominal	Primary	Nominal	Nominal	Primary	Secondary	Nominal	Primary	Secondary
<b>Above Site (Systems)</b>									
<i>Health Workforce</i>				Primary	Secondary	Secondary	Primary	Secondary	Secondary
<i>Governance</i>				Primary	Secondary	Secondary	Primary	Secondary	Secondary
<i>Institutional and Organizational Development</i>				Primary	Secondary	Secondary	Primary	Secondary	Secondary
<i>Health Financing</i>				Secondary	Primary	Primary	Secondary	Primary	Primary
<i>Health Management Information Systems</i>				Primary	Secondary	Secondary	Primary	Secondary	Secondary
<i>Supply Chain Systems</i>				Secondary	Primary	Primary	Primary	Secondary	Secondary
<i>Laboratory Systems</i>				Secondary	Primary	Primary	Primary	Secondary	Secondary
<i>Other Systems Support</i>									
<i>Disease Surveillance</i>				Secondary	Primary	Primary	Primary	Secondary	Secondary
<b>Strategic Information</b>									
<i>Monitoring and Evaluation</i>	Primary	Secondary	Secondary	Secondary	Primary	Primary	Primary	Secondary	Secondary
<i>Surveys and Surveillance</i>	Secondary	Primary	Primary	Secondary	Primary	Primary	Primary	Secondary	Secondary
<i>Research and Other Surveys</i>	Secondary	Primary	Secondary	Secondary	Primary	Secondary	Primary	Secondary	Secondary
<b>Program Implementation, Management, and Support</b>									
<i>At the Implementation Level</i>	Primary	Secondary	Secondary	Primary	Secondary	Secondary	Primary	Secondary	Secondary
<i>At the Donor Level</i>	Secondary	Primary	Primary	Secondary	Primary	Primary	Secondary	Primary	Primary

**Definitional guidance for dimension categories:**

1. Service Delivery - Activities that involve direct contact with patients; or direct involvement in this activity.
2. Non-Service Delivery Assistance - Advice, training, etc. provided to ensure service is successful.
3. Strategy Formulation and Planning - Higher level oversight and strategic management that provides vision, guides programs, and works to ensure success of service and/or technical assistance.

HIV/AIDS Responsibility Matrix		Supplementary Comments/Notes
<b>Country: Ethiopia</b>		
<b>Programs</b>	Throughout the Programs section, the GDE and PEPFAR share primary roles in Strategic Formulation and Planning by working in partnership.	
<b>Care and Treatment</b>	Sum elements	
Clinical Interventions		
Laboratory		
Linkage, Retention, Adherence	Confirmed DSD w/CDC, community support (NFP+), Non-CSD, GDE supported by UN Isp, and GF I supporting community component. Both GF and PEPFAR contribute significantly to this effort. The agreement reached was to have both added as Primary for DSD and Non.	
TB/HIV		
<b>HIV Testing Services</b>		
Prevention		
Prevention of Mother-to-Child Transmission	Prevention of Mother-to-Child Transmission - PEPFAR should be Secondary, PEPFAR's contribution in non-service delivery assistance and strategy formulation should be at least secondary not nominal, as there is substantial involvement, without which performance would deteriorate over time.	
Main Circumcision	Male Circumcision - PEPFAR should be Primary. Under non-service delivery assistance, GFATM Procured HIV test kits are used to test clients before circumcision, and GFATM is primary. Other than the test kits, PEPFAR leads the planning implementation and monitoring of Circumcision activities and PEPFAR is primary under Non-service delivery support.	
Other Biomedical Prevention	Other Biomedical prevention - Remove PEPFAR role. Blood safety and IPS has been transitioned to GDE and we suggest removing PEPFAR role.	
Key and Priority Populations		
<b>Orphans and Vulnerable Children</b>	Roles/level of support of MOWCA and multilaterals to be checked.	
<b>Commodities</b>	Overall for Commodities Section, PEPFAR and GFATM need to be listed as primary for VL, Circumcision, HIVST, Recency, RTXL, and ARVs - without this funding for essential commodities, there would be no interventions across the 3 SOs. Antiretroviral drugs - PEPFAR should at least be secondary if not primary; we support the whole of PISA and the supply.	
Condoms	Non-DSD, GDE is supported by UN.	
Male Circumcision Kits and Supplies	Non-DSD, GDE procurement and training supported by Isp.	
Rapid Test Kits	*Refers to comments under "Commodities" heading. Where GDE is listed as Primary for DSD, they are responsible for testing and providing service as well as supervision and mentorship. Primary role was mutually agreed upon.	
Antiretroviral Drugs	*Refers to comments under "Commodities" heading. Where GDE is listed as Primary for DSD, they are responsible for providing service as well as supervision and mentorship. Primary role was mutually agreed upon.	
Other Essential Drugs	*Refers to comments under "Commodities" heading. Where GDE is listed as Primary for DSD, they are responsible for providing service as well as supervision and mentorship. Primary role was mutually agreed upon.	
CDE	*Refers to comments under "Commodities" heading. Where GDE is listed as Primary for DSD, they are responsible for providing service as well as supervision and mentorship. Primary role was mutually agreed upon.	
Viral Load	*Refers to comments under "Commodities" heading. Where GDE is listed as Primary for DSD, they are responsible for providing service as well as supervision and mentorship. Primary role was mutually agreed upon.	
Reagents and Supplies	*Refers to comments under "Commodities" heading. Where GDE is listed as Primary for DSD, they are responsible for providing service as well as supervision and mentorship. Primary role was mutually agreed upon.	
Health Equipment	*Refers to comments under "Commodities" heading. Where GDE is listed as Primary for DSD, they are responsible for providing service as well as supervision and mentorship. Primary role was mutually agreed upon.	
PDM Costs	GF and PEPFAR share equal responsibility.	
<b>Health Workforce</b>		
Service Delivery Personnel: Facility level staff total		
Non-Service Delivery Personnel: Facility level staff total		
Community Health Workers/Lay Cadres staff number		
Secondment Staff number	GDE is supported by UN Isp.	
<b>Above Site (Systems)</b>		
Health Workforce		
Governance		
Institutional and Organizational Development		
Health Financing	GF and PEPFAR share equal responsibility and are equal contributors to the CCM.	
Health Management Information Systems		
Supply Chain Systems	Mutually agreed for GF & PEPFAR to share Primary roles.	
Laboratory Systems	Mutually agreed for GF & PEPFAR to share Primary roles.	
Other Systems Support	N/A due to lack of clarity around definition.	
Disease Surveillance	Mutually agreed for GF & PEPFAR to share Primary roles.	
<b>Strategic Information</b>		
Monitoring and Evaluation	Mutually agreed for GF & PEPFAR to share Primary roles due to finance contributions and LOE on the ground.	
Surveys and Surveillance	GF and PEPFAR are nearly equal contributors and shared Primary responsibility was agreed within the highly politicized environment.	
Research and Other Surveys		
<b>Program Implementation, Management, and Support</b>		
At the Implementation Level		
At the Donor Level	GDE receives UN Isp support, GF and PEPFAR are nearly equal contributors and shared Primary responsibility was agreed within the highly politicized environment.	